

1 **IN THE COURT OF APPEALS OF THE STATE OF NEW MEXICO**

2 Opinion Number: _____

3 Filing Date: May 29, 2020

4 **NOS. A-1-CA-36096, A-1-CA-37270, A-1-CA-37585 and A-1-CA-38283**
5 **(consolidated for purpose of opinion)**

6 **STATE OF NEW MEXICO,**

7 Plaintiff-Appellant,

8 v.

9 **DAVID GUTIERREZ,**

10 Defendant-Appellee.

11 **APPEAL FROM THE DISTRICT COURT OF DOÑA ANA COUNTY**
12 **Angie K. Schneider, District Judge**

13 **and**

14 **STATE OF NEW MEXICO,**

15 Plaintiff-Appellant,

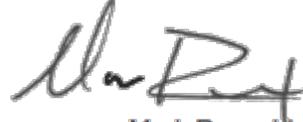
16 v.

17 **FRANCESCA ESTEVEZ,**

18 Defendant-Appellee.

19 **APPEAL FROM THE DISTRICT COURT OF GRANT COUNTY**
20 **Douglas R. Driggers, District Judge**

Court of Appeals of New Mexico
Filed 5/29/2020 10:47 AM



Mark Reynolds

1 **and**

2 **STATE OF NEW MEXICO,**

3 Plaintiff-Appellant,

4 **v.**

5 **CONNIE LEE JOHNSTON,**

6 Defendant-Appellee.

7 **APPEAL FROM THE DISTRICT COURT OF SAN JUAN COUNTY**

8 **Louis E. DePauli, Jr., District Judge**

9 **and**

10 **STATE OF NEW MEXICO,**

11 Plaintiff-Appellant,

12 **v.**

13 **DEMESIA PADILLA,**

14 Defendant-Appellee.

15 **APPEAL FROM THE DISTRICT COURT OF SANTA FE COUNTY**

16 **Mary Marlowe Sommer, District Judge**

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OPINION

1 **VARGAS, Judge.**

2 {1} Does a government official who fails to abide by the ethical principles
3 imposed by the Legislature commit a crime under the Governmental Conduct Act
4 (GCA), NMSA 1978, §§ 10-16-1 to -18 (1967, as amended through 2019)? This is
5 the question we are called upon to answer in each of these four separate cases.

6 {2} The State appeals four district court orders dismissing charges against
7 Defendants David Gutierrez, Francesca Estevez, Connie Lee Johnston, and Demesia
8 Padilla under the GCA in four separate and unrelated cases. The district court
9 dismissed the charges against each Defendant under the GCA on different grounds.

10 The charges against one Defendant were dismissed on the ground that Subsections
11 10-16-3(A)-(C) do not provide for criminal offenses. Those against a second
12 Defendant were dismissed on the ground that Subsections (A)-(C) are too ambiguous
13 to apply. Charges against a third were dismissed on the ground that the statute is
14 unconstitutionally vague. Finally, charges against a fourth Defendant were
15 dismissed on grounds that the statute is both unconstitutionally vague and overbroad.

16 Because these cases raise several identical issues, we consolidate them for decision.

17 *See* Rule 12-317(B) NMRA. Concluding that the Legislature intended for knowing
18 and willful violations of Subsections 10-16-3(A)-(C) to be punishable as
19 misdemeanors, we reverse the district courts' decisions dismissing charges brought

1 under Subsection 10-16-3(A), but affirm the district courts' decisions dismissing
2 charges brought under Subsections 10-16-3(B) and (C) on the ground of vagueness.

3 **BACKGROUND**

4 {3} These four cases came to us with very different facts and a varying procedural
5 backgrounds, but they share a common thread: each case arises from an allegation
6 of misconduct by a government official. We therefore begin by setting forth the
7 relevant factual and procedural background for each case leading up to
8 consolidation.

9 **Defendant David Gutierrez**

10 {4} The State charged Defendant Gutierrez with violating Subsections 10-16-
11 3(A)-(C) of the GCA, alleging he pursued an unwanted sexual relationship with one
12 of his employees during the course of his work as county treasurer by repeatedly
13 commenting on her physical appearance and offering to give her money and use his
14 authority as treasurer to expunge a prior disciplinary write-up in exchange for sex.
15 Defendant Gutierrez filed three motions, which included a motion in limine, a
16 motion to dismiss, and a motion pursuant to *State v. Foulenfont*, 1995-NMCA-028,
17 ¶ 6, 119 N.M. 788, 895 P.2d 1329 (authorizing dismissal of a case in lieu of an
18 evidentiary hearing or a trial on the merits where a case raises a purely legal issue).
19 These motions made largely the same assertion—that the provisions of Subsections

1 10-16-3(A)-(C) do not define or create criminal offenses, but instead are ethical
2 principles intended to guide the behavior of public officials.

3 {5} The district court granted Defendant Gutierrez’s motions and dismissed the
4 indictment, reasoning that violations of Subsections 10-16-3(A)-(C) were not crimes
5 but “ethical considerations,” and that the grand jury indictment, therefore, “failed to
6 allege the commission of a criminal offense.” The State appealed the dismissal of
7 Defendant Gutierrez’s charges.

8 **Defendant Francesca Estevez**

9 {6} The State charged Defendant Estevez, in relevant part, with violating
10 Subsections 10-16-3(A) and (B) of the GCA, alleging she attempted to use her
11 position as district attorney to manipulate or intimidate officers who were
12 investigating allegations that she improperly used a state vehicle for personal use.
13 Defendant Estevez filed a motion to dismiss these counts, arguing the GCA was
14 unconstitutionally vague. The district court concluded that although Section 10-16-
15 3 establishes “advisory guideposts setting forth standards of ethical conduct[,]”
16 insurmountable ambiguities existed regarding its intended scope and the
17 applicability of Section 10-16-17’s provision for criminal penalties. As a result, the
18 district court applied the rule of lenity and dismissed the charges. The State appealed
19 the dismissal of Defendant Estevez’s charges.

1 **Defendant Connie Lee Johnston**

2 {7} The State charged Defendant Johnston, in relevant part, with violating
3 Subsections 10-16-3(A) and (B) based on allegations that, while acting in her
4 capacity as a magistrate judge, Defendant Johnston unlawfully recorded the
5 communications of her colleagues and coworkers in secure areas within the Aztec
6 Magistrate Court Building. Defendant Johnston filed a motion to dismiss these
7 charges, arguing that the subsections at issue set forth “aspirational provisions”
8 rather than criminal offenses and are unconstitutionally vague. The district court
9 dismissed the charges, concluding that even if Subsections (A) and (B) provided for
10 criminal offenses, they were nevertheless void for vagueness. The State appealed the
11 dismissal of Defendant Johnston’s charges.

12 **Defendant Demesia Padilla**

13 {8} The State charged Defendant Padilla, in relevant part, with violating
14 Subsections 10-16-3(B) and (C), alleging she used her position as the Secretary of
15 the New Mexico Taxation and Revenue Department to access the tax records of the
16 accounting firm at which she worked prior to her appointment as well as the records
17 of her former clients. Defendant Padilla filed motions to dismiss these charges,
18 arguing the subsections at issue were unconstitutionally vague and overbroad. The
19 district court granted Defendant Padilla’s motions and dismissed these charges. The
20 State appealed.

1 **DISCUSSION**

2 {9} On appeal, the parties ask us to consider two issues. First, we are called on to
3 decide whether violations of Subsections 10-16-3(A)-(C) are criminal offenses. In
4 the event we conclude the violation of those subsections can be prosecuted as crimes,
5 we are next asked to consider whether they are ambiguous or unconstitutionally
6 vague. Defendant Padilla also asks us to consider whether Subsections (B) and (C)
7 are overbroad. We conclude that Subsections (A)-(C) set out criminal offenses, that
8 Subsection (A) is not unconstitutionally vague, and that Subsections (B) and (C) are
9 unconstitutionally vague. As our void-for-vagueness discussion is dispositive for the
10 charges brought under Subsections (B) and (C), we need not reach the issue of
11 overbreadth raised by Defendant Padilla.

12 **I. Applicability of Section 10-16-17 to Subsections 10-16-3(A)-(C)**

13 {10} The State contends that the district court erred when it concluded the
14 provisions of Subsections 10-16-3(A)-(C) set out only “ethical considerations”
15 rather than crimes. In support of its argument, the State contends that the plain
16 language of Section 10-16-17 renders any knowing and willful violation of
17 Subsections 10-16-3(A)-(C) a misdemeanor, “[u]nless specified otherwise [in the
18 GCA]” The State also argues that the Legislature’s use of mandatory language in
19 Subsections (A)-(C) makes clear its intent that violations of those subsections are
20 punishable as misdemeanors.

1 {11} In response to the State, Defendants first contend that the plain language of
2 Subsections (A)-(C) supports the conclusion that the violation of those subsections
3 are not crimes. Second, pointing to other statutory provisions within the GCA
4 mandating certain ministerial and reporting duties, Defendants contend that it would
5 be absurd to conclude that the Legislature intended that all provision of the GCA
6 containing mandatory language trigger criminal liability if violated. Third,
7 Defendants contend that violations of Subsection (C) may result in only civil, not
8 criminal, penalties. Fourth, Defendants argue that Subsections (A)-(C) are so
9 ambiguous that the rule of lenity requires that we construe them as not providing for
10 criminal offenses.

11 **A. Statutory Interpretation**

12 {12} The parties' arguments require that we interpret Subsections 10-16-3(A)-(C),
13 in conjunction with Section 10-16-17. Statutory interpretation is an issue of law we
14 review de novo. *See Badilla v. Wal-Mart Stores E. Inc.*, 2015-NMSC-029, ¶ 12, 357
15 P.3d 936 (“Interpretation of a statute is an issue of law which we review de novo.”
16 (alteration, omissions, internal quotation marks, and citation omitted)). When
17 interpreting statutes, we must determine and give effect to the Legislature’s intent,
18 which requires that “we look first to the plain language of the statute, giving the
19 words their ordinary meaning, unless the Legislature indicates a different one was
20 intended.” *Chatterjee v. King*, 2012-NMSC-019, ¶ 11, 280 P.3d 283 (alteration,

1 internal quotation marks, and citation omitted). “[I]f the meaning of a statute is truly
2 clear—not vague, uncertain, ambiguous, or otherwise doubtful—it is of course the
3 responsibility of the judiciary to apply the statute as written and not to second-guess
4 the [L]egislature’s selection from among competing policies or adoption of one of
5 perhaps several ways of effectuating a particular legislative objective.” *State ex rel.*
6 *Helman v. Gallegos*, 1994-NMSC-023, ¶ 22, 117 N.M. 346, 871 P.2d 1352. We
7 therefore first consider whether the language of the statutes at issue is clear, or
8 whether we must look further before applying the statutes to the facts of these cases.

9 {13} While the parties focus their analysis on the provisions of Subsections 10-16-
10 3(A)-(C), we begin with a review of Section 10-16-17, which establishes the
11 parameters of criminal conduct under the GCA. Section 10-16-17 provides:

12 *Unless specified otherwise in the [GCA], any person who*
13 *knowingly and willfully violates any of the provisions of [the GCA] is*
14 *guilty of a misdemeanor and shall be punished by a fine of not more*
15 *than one thousand dollars (\$1,000) or by imprisonment for not more*
16 *than one year or both. Nothing in the [GCA] shall preclude criminal*
17 *prosecution for bribery or other provisions of law set forth in the*
18 *constitution of New Mexico or by statute.*

19 (Emphasis added.) Before a defendant is guilty of a misdemeanor under Section 10-
20 16-17, three requirements must be satisfied. First, a defendant must have violated a
21 provision of the GCA. Second, the violation must have been knowing and willful.
22 And, third, the violation must not be subject to treatment otherwise specified in the
23 GCA.

1 {14} Without addressing the specific requirements of Section 10-16-17 that trigger
2 misdemeanor criminal liability, Defendants maintain that the Legislature did not
3 intend for Section 10-16-17 to apply to violations of Section 10-16-3. Section 10-
4 16-3 provides:

5 **Ethical principles of public service; certain official acts prohibited;**
6 **penalty.**

7 A. A legislator or public officer or employee shall treat the
8 legislator’s or public officer’s or employee’s government position as a
9 public trust. The legislator or public officer or employee shall use the
10 powers and resources of public office only to advance the public
11 interest and not to obtain personal benefits or pursue private interests.

12 B. Legislators and public officers and employees shall
13 conduct themselves in a manner that justifies the confidence placed in
14 them by the people, at all times maintaining the integrity and
15 discharging ethically the high responsibilities of public service.

16 C. Full disclosure of real or potential conflicts of interest shall
17 be a guiding principle for determining appropriate conduct. At all times,
18 reasonable efforts shall be made to avoid undue influence and abuse of
19 office in public service.

20 D. No legislator or public officer or employee may request or
21 receive, and no person may offer a legislator or public officer or
22 employee, any money, thing of value or promise thereof that is
23 conditioned upon or given in exchange for promised performance of an
24 official act. Any person who knowingly and willfully violates the
25 provisions of this subsection is guilty of a fourth degree felony and shall
26 be sentenced pursuant to the provisions of [NMSA 1978,] Section 31-
27 18-15 [(2019)].

28 In support of their argument, Defendants point to the heading of Section 10-16-3—
29 “Ethical principles of public service; certain acts prohibited; penalty”—claiming the
30 heading evidences a legislative intent contrary to the plain meaning of Section 10-

1 16-17 for two reasons. First, Defendants contend the heading indicates that
2 compliance with Subsections (A)-(C) is merely aspirational and, therefore, any
3 violations of those provisions are not crimes. Second, Defendants claim the heading
4 demonstrates that criminal penalties are limited to the activities set out in
5 Subsection (D).

6 {15} Initially we note that when discerning the Legislature’s intent, we may look
7 to a section’s heading, “and ordinarily it may be considered as a part of the act if
8 necessary to its construction.” *Tri-State Generation & Transmission Ass’n, Inc. v.*
9 *D’Antonio*, 2012-NMSC-039, ¶ 18, 289 P.3d 1232 (internal quotation marks and
10 citation omitted); *see also* NMSA 1978, § 12-2A-13 (1997) (“Headings and titles
11 may not be used in construing a statute or rule unless they are contained in the
12 enrolled and engrossed bill or rule as adopted.”). We may not use a section’s
13 heading, however, “to produce an ambiguity in a statute which is otherwise clearly
14 drafted[.]” *Serrano v. State, Dep’t of Alcoholic Beverage Control*, 1992-NMCA-
15 015, ¶ 12, 113 N.M. 444, 827 P.2d 159. Any such ambiguity must derive from the
16 body, not the heading, of the statute. *See State v. Ellenberger*, 1981-NMSC-056, ¶ 6,
17 96 N.M. 287, 629 P.2d 1216 (concluding that “the ambiguity which justifies a resort
18 to the title must arise in the body of the act; an ambiguity arising from the title is not
19 sufficient” (internal quotation marks and citation omitted)). The heading of a section
20 “cannot limit the plain [meaning] of the text.” *Id.* (internal quotation marks and

1 citation omitted); *see* 73 Am. Jur. 2d *Statutes* § 100 (2020) (explaining that although
2 a section’s heading is a tool “for the resolution of doubt about the meaning of a
3 statute[,]” the heading “is only a short-hand reference to the general subject matter
4 involved in that statutory section and cannot limit the plain meaning of the text”
5 (footnotes omitted)).

6 {16} Here, Defendants rely on the heading of Section 10-16-3 to establish a
7 limitation that is not contained in the text of the statute—that compliance with
8 Subsections (A)-(C) is merely aspirational and not subject to the criminal penalty set
9 out in Section 10-16-17. We understand Defendants’ argument to be that the
10 Legislature’s use of the word “principles,” rather than “standards,” in Section 10-
11 16-3’s heading indicates that the Legislature did not intend to require legislators,
12 public officials, and public employees to comply with Subsections (A)-(C)’s
13 provisions. Defendants reason that because “standards” is defined at Section 10-16-
14 2(J) as “conduct *required* by the [GCA],” and the Legislature chose not to use
15 “standards” in the heading to Section 10-16-3, it did not intend to require compliance
16 and therefore did not intend for violations of Subsections (A)-(C) to be subject to
17 criminal penalty. (Emphasis added.)

18 {17} While we agree that the word “standards” does not appear in the heading or
19 text of Section 10-16-3, Defendants’ argument fails to explain how Subsections
20 (A)-(C) are extracted from the express language of Section 10-16-17 that a knowing

1 and willful violation of any provision of the GCA, unless specified otherwise, is a
2 misdemeanor. We therefore decline to read into Section 10-16-3 an ambiguity based
3 entirely upon the section’s heading when the plain meaning of the text, read together
4 with Section 10-16-17, indicates a legislative intent that a violation, if knowing and
5 willful, is subject to criminal penalty. *See Serrano*, 1992-NMCA-015, ¶ 12; *see also*
6 *State ex rel. Children, Youth & Families Dep’t v. Djamila B.*, 2014-NMCA-045,
7 ¶ 10, 322 P.3d 444 (“The statute or statutes, whose construction is in question, are
8 to be read in connection with other statutes concerning the same subject matter.”
9 (alteration, internal quotation marks, and citation omitted)).

10 {18} Second, Defendants argue the section’s heading demonstrates that only
11 certain official acts described in Section 10-16-3 are prohibited and therefore subject
12 to a penalty. Those prohibited acts, Defendants contend, are set out in Subsection
13 (D). Subsections (A)-(C), by contrast, are merely general ethical principles and
14 therefore, do not set out crimes. Insofar as Defendants contend the order and
15 structure of the heading is indicative of the Legislature’s intent to limit the
16 prohibition and criminalization to those acts described in Subsection 10-16-3(D), we
17 reiterate that the heading of a section cannot limit the plain meaning of the section’s
18 text. *See Ellenberger*, 1981-NMSC-056, ¶ 6. In light of our conclusion that the plain
19 meaning of Sections 10-16-3 and -17 indicates a legislative intent to provide for a
20 misdemeanor penalty for a knowing and willful violation of Subsections 10-16-

1 3(A)-(C), we reject Defendants’ argument that the section’s heading instructs
2 otherwise.

3 {19} To the extent the district court that dismissed Defendant Gutierrez’s charges
4 concluded that the language of Subsection 10-16-3(D), making it a felony to
5 knowingly and willfully violate that subsection, removed Section 10-16-3 from the
6 applicability of Section 10-16-17 in its entirety,¹ we disagree. The interplay between
7 Sections 10-16-3 and -17 demonstrates that Subsection 10-16-3(D) does not serve
8 to exclude Subsections 10-16-3(A)-(C) from the applicability of Section 10-16-17.
9 Subsection (D) specifically provides for a fourth-degree felony penalty for knowing
10 and willful violations and limits the application of its felony penalty to violations of
11 “the provisions of *this subsection*[.]” Section 10-16-3(D) (emphasis added). The
12 plain meaning of Section 10-16-17’s introductory proviso (“Unless specified
13 otherwise in the [GCA] . . .”) makes clear that the heightened criminal penalty in
14 Subsection (D) is an exception to the general misdemeanor penalty established by
15 Section 10-16-17 for violations of “any of the provisions of [the GCA],” which

¹The district court stated:

Both of those statutes [Sections 10-16-3 and 10-16-4], there is one paragraph that articulates . . . those acts as a fourth degree felony . . . those are the only two in the whole Act that are specified differently than the others. So that pulls those two out from [Section] 10-16-17. So I’m not reading [Sections] 10-16-3 or 10-16-4 . . . under the Act, to outline misdemeanor charges.

1 necessarily includes those found within Subsections (A)-(C). *Cf. State v. Santillanes*,
2 2001-NMSC-018, ¶ 7, 130 N.M. 464, 27 P.3d 456 (characterizing the
3 “general/specific statute rule” as a tool of statutory construction, in which a “specific
4 statute operates as an exception to the general statute”).

5 {20} This conclusion, however, does not end our inquiry. Indeed, as Justice
6 Montgomery warned in *Helman*, “courts must exercise caution in applying the plain
7 meaning rule. Its beguiling simplicity may mask a host of reasons why a statute,
8 apparently clear and unambiguous on its face, may for one reason or another give
9 rise to legitimate (i.e., nonfrivolous) differences of opinion concerning the statute’s
10 meaning. In such a case, it can rarely be said that the legislation is indeed free from
11 all ambiguity and is crystal clear in its meaning.” 1994-NMSC-023, ¶ 23

12 {21} Here, Defendant Padilla argues application of the plain language of Section
13 10-16-17 would lead to an absurd result. “If adherence to the plain meaning of a
14 statute would lead to absurdity, we must reject that meaning and construe the statute
15 according to the obvious intent of the [L]egislature.” *State v. Maestas*, 2007-NMSC-
16 001, ¶ 16, 140 N.M. 836, 149 P.3d 933; *see Gandydancer, LLC v. Rock House CGM,*
17 *LLC*, 2019-NMSC-021, ¶ 14, 453 P.3d 434 (“The plain meaning rule must yield
18 when equity, legislative history, or other sources demonstrate that applying the plain
19 meaning would result in a construction contrary to the spirit of the statute.” (internal
20 quotation marks and citation omitted)).

1 {22} Defendant Padilla, however, fails to explain how the strict adherence to the
2 plain meaning of Section 10-16-17's general misdemeanor penalty results in an
3 absurdity if applied to Subsections 10-16-3(A)-(C). Instead, she points to sections
4 not at issue in the cases at bar. *See, e.g.*, §§ 10-16-4.2 (requiring public officers and
5 employees to disclose all outside employment); -8(D) ("For a period of one year
6 after leaving government service or employment, a former public officer or
7 employee shall not represent for pay a person before the state agency or local
8 government agency at which the former public officer or employee served or
9 worked."); -9(B) (prohibiting—with exceptions for attorneys or other
10 professionals—legislators from representing or assisting another person in a matter
11 before a state agency for pay); -11(B) (requiring officers and employees be provided
12 with and review codes of conduct); -11(E) (requiring legislators to attend continuing
13 education and training in ethics).

14 {23} While we understand Defendant Padilla's point to be that it would be absurd
15 to criminally punish a legislator, public officer, or public employee for his or her
16 failure to comply with certain mandatory ministerial or reporting activities required
17 by the GCA, we note that the GCA provides a broad spectrum of enforcement
18 mechanisms, described below in Section I(B). These enforcement mechanisms take
19 into account the culpability of the actor, which may be relevant to Defendant
20 Padilla's argument regarding these other provisions. Nevertheless, we need not pass

1 judgment on the applicability of the criminal penalty set out in Section 10-16-17 to
2 the violations of other sections of the GCA, as those violations are not before us
3 today. More importantly, Defendant Padilla fails to explain why it would be absurd
4 for us to interpret a violation of Subsections 10-16-3(A)-(C), done in a knowing and
5 willful manner as required by Section 10-16-17, to be a misdemeanor in accordance
6 with the plain language of that statute. *See State v. Fuentes*, 2010-NMCA-027, ¶ 29,
7 147 N.M. 761, 228 P.3d 1181 (observing that “we do not review unclear or
8 undeveloped arguments which require us to guess at what parties’ arguments might
9 be”). Even if we were to harbor a personal opinion that the Legislature may have
10 desired to include Subsections (A)-(C) as nothing more than aspirational guidelines,
11 we cannot avoid the clear language of Section 10-16-17.

12 {24} Indeed, the legislative history of Section 10-16-3 and Section 10-16-17
13 bolsters our conclusion that adherence to the plain meaning rule under the facts of
14 this case does not produce a result contrary to the spirit of the GCA. First, we note
15 that the Legislature enacted Sections 10-16-3 and -17 in the same bill in 1993. *See*
16 1993 N.M. Laws, ch. 46, §§ 28, 37. We presume that when it enacted these statutes
17 together, it intended that a knowing and willful violation of Subsections 10-16-3(A)-
18 (C) give rise to the misdemeanor criminal penalty called for in Section 10-16-17.
19 Since its enactment in 1993, the Legislature has taken the opportunity to add and
20 amend other sections in the GCA on six separate occasions, yet it has never amended

1 Section 10-16-17, suggesting its continued intent to impose the criminal penalty set
2 out in that section. *See* 1995 N.M. Laws, ch. 153, § 23; 2003 N.M. Laws, ch. 33, § 1;
3 2007 N.M. Laws, ch. 362, §§ 1-11; 2009 N.M. Laws, ch. 66, § 11; 2011 N.M. Laws,
4 ch. 138, §§ 1-13; 2019 N.M. Laws, ch. 86, §§ 23-26. Importantly, Defendants have
5 failed to point us to any evidence, and we have found none, clearly indicating the
6 Legislature’s intent to exclude Subsections 10-16-3(A)-(C) from Section 10-16-17’s
7 general misdemeanor provision.² *See State v. Davis*, 2003-NMSC-022, ¶ 6, 134
8 N.M. 172, 74 P.3d 1064 (“The legislative history of the statute, including historical

²Defendants rely on the Attorney General’s GCA Compliance Guide as support for their argument that Subsections (A), (B), and (C) are not intended to provide for criminal offenses. *See* N.M. Att’y Gen., *Governmental Conduct Act Compliance Guide (Compliance Guide)* at 10 (2d ed. 2015) (explaining under Subsections (A) and (B) that “[t]his part of the GCA summarizes why we have ethics laws: to help ensure the public’s trust in the honesty of our elected and appointed public officials”); *Compliance Guide, supra*, at 17 (explaining that Subsection 10-16-3(C) and Section 10-16-4 “strongly warn all public officials and employees . . . against using their public authority to benefit their private financial interests”; and noting the possible criminal penalty for violation of Section 10-16-4, but making no such commentary for Subsection 10-16-3(C)); *Compliance Guide, supra*, at 18 (including Subsection 10-16-3(C)’s “guiding principle” of full disclosure in the GCA’s “ethical principles”). Notwithstanding the persuasive weight accompanying an “interpretation of a statute by the administrative body charged with enforcing it,” *Las Cruces Prof’l Fire Fighters v. City of Las Cruces*, 1997-NMCA-031, ¶ 9, 123 N.M. 239, 938 P.2d 1384, the extent to which the Compliance Guide undercuts our statutory analysis is ultimately inconsequential in light of the plain language of the statute. *See Chatterjee*, 2012-NMSC-019, ¶ 11 (explaining that when determining the legislative intent, our task is to “look first to the plain language of the statute, giving the words their ordinary meaning, unless the Legislature indicates a different one was intended” (internal quotation marks and citation omitted)).

1 amendments, and whether it is part of a more comprehensive act, is instructive when
2 searching for the spirit and reason the Legislature utilized in enacting the statute[.]”
3 (citation omitted); *see also Maestas*, 2007-NMSC-001, ¶ 22 (“Although we give
4 effect to legislative intent by construing statutes to avoid absurd results, we must
5 assume the [L]egislature chose its words advisedly to express its meaning unless the
6 contrary intent clearly appears.” (alterations, internal quotation marks, and citations
7 omitted)). Absent evidence of such legislative intent, we must “apply the statute as
8 written” and refrain from “second-guess[ing] the [L]egislature’s [chosen
9 manner] . . . of effectuating [its] legislative objective.” *Helman*, 1994-NMSC-023, ¶
10 22; *see also, N.M. Petroleum Marketers Ass’n v. N.M. Env’tl. Improvement Bd.*,
11 2007-NMCA-060, ¶ 11, 141 N.M. 678, 160 P.3d 587 (applying the plain meaning
12 rule when “[a]ppellants have not convinced us that adherence to the literal meaning
13 of the broad language employed by . . . the Legislature would lead to injustice,
14 absurdity, or internal contradiction”).

15 **B. Penalties for Violations of Subsection 10-16-3(C)**

16 {25} Without citing any particular statutory provision or other authority, Defendant
17 Gutierrez argues the penalty for a violation of Subsection 10-16-3(C) is limited to
18 civil sanctions or penalties. *See State v. Smith*, 2019-NMCA-027, ¶ 9, 458 P.3d 613
19 (explaining that when a party fails to cite supporting authority, we may assume no
20 such authority exists), *cert. denied*, 2019-NMCERT-___ (No. S-1-SC-37596, May

1 1, 2019). We note that the GCA does provide for both civil and criminal penalties.
2 In instances of violations of the GCA that were unintentional or for good cause, the
3 state ethics commission has the authority to seek voluntary compliance with the
4 provisions of the GCA by correcting the violation within ten days of receiving
5 notice. Section 10-16-13.1(B). The state ethics commission also has the authority to
6 either institute a civil action or refer the matter to the attorney general or a district
7 attorney to institute a civil action “if a violation has occurred or to prevent a violation
8 of any provision of the [GCA].” Section 10-16-18(B); *see also* § 10-16-13.1(B)
9 (providing that referrals for civil enforcement “shall be pursued only after efforts to
10 secure voluntary compliance with [the GCA] have failed”). Alternatively, “[i]f the
11 state ethics commission reasonably believes that a person committed, or is about to
12 commit, a violation of the [GCA],” it “may refer the matter to the attorney general
13 or a district attorney for enforcement.” Section 10-16-18(A); *see also* § 10-16-14(A)
14 (authorizing the state ethics commission to “investigate suspected violations of the
15 [GCA] and forward its findings and evidence to the attorney general, district attorney
16 or appropriate state agency or legislative body for enforcement”). In instances of
17 knowing and willful violations of the GCA, the attorney general or a district attorney
18 is authorized to bring criminal charges. *See* §§ 10-16-3(D), -4(A), -17 (designating
19 as a felony or misdemeanor the knowing and willful violation of the provisions of
20 the GCA).

1 {26} The only provision limiting the ability of the attorney general or a district
2 attorney from enforcing the GCA is Section 10-16-14(E), which prohibits a district
3 attorney from enforcing the GCA “as regards legislators, state employees or
4 statewide elected officials.” Apart from Section 10-16-14(E), nothing in the statutory
5 framework of the GCA limits the discretion of the attorney general or a district
6 attorney to prosecute a knowing and willful violation of the GCA. We therefore
7 reject Defendant Gutierrez’s argument that penalties for violations of Subsections
8 10-16-3(A)-(C) are limited to civil penalties and sanctions. *See Chatterjee, 2012-*
9 *NMSC-019, ¶ 11* (“When attempting to determine the Legislature’s intent, we look
10 first to the plain language of the statute, giving the words their ordinary meaning,
11 unless the Legislature indicates a different one was intended.” (alteration, internal
12 quotation marks, and citation omitted)).

13 **C. The Rule of Lenity**

14 {27} The parties also contest whether the rule of lenity requires that we construe
15 Subsections 10-16-3(A)-(C) as failing to provide for criminal offenses. “The rule of
16 lenity counsels that criminal statutes should be interpreted in the defendant’s favor
17 when insurmountable ambiguity persists regarding the intended scope of a criminal
18 statute[.]” *State v. Tafoya, 2010-NMSC-019, ¶ 23, 148 N.M. 391, 237 P.3d 693*
19 (internal quotation marks and citation omitted), or “when we are unable to discern
20 legislative intent.” *State v. Yazzie, 2018-NMCA-001, ¶ 15, 410 P.3d 220* (internal

1 quotation marks and citation omitted). Lenity, however, “is reserved for those
2 situations in which a reasonable doubt persists about a statute’s intended scope
3 even *after* resort to the language and structure, legislative history, and motivating
4 policies of the statute.” *State v. Ogden*, 1994-NMSC-029, ¶ 26, 118 N.M. 234, 880
5 P.2d 845 (internal quotation marks and citation omitted). As we have concluded that
6 the plain language of Section 10-16-17 evidences the Legislature’s intent that
7 knowing and willful violations of Subsections 10-16-3(A)-(C) be punished as crimes
8 and not treated merely as ethical considerations, we decline to apply the rule of lenity
9 to this effect. We now turn to Defendants’ argument that Subsections (A)-(C) are
10 unconstitutionally vague.

11 **II. Vagueness**

12 {28} Defendants argue that, in the event we conclude the Legislature intended to
13 impose criminal liability for violations of Subsections (A)-(C), then the subsections
14 are nonetheless void for vagueness. “We review a vagueness challenge *de novo*.”
15 *State v. Duttie*, 2017-NMCA-001, ¶ 13, 387 P.3d 885 (internal quotation marks and
16 citation omitted). “A strong presumption of constitutionality underlies each
17 legislative enactment, and the party challenging constitutionality has the burden of
18 proving a statute is unconstitutional beyond all reasonable doubt.” *Id.* (internal
19 quotation marks and citation omitted). “Appellate courts have a duty to construe a
20 statute in such a manner that it is not void for vagueness if a reasonable and practical

1 construction can be given to its language.” *Id.* (internal quotation marks and citation
2 omitted). To the extent the parties’ arguments involve not only a facial vagueness
3 analysis but also an as-applied vagueness analysis, we must first address the extent
4 to which an as-applied vagueness analysis is proper given the procedural posture of
5 the cases at bar.

6 **A. As-Applied Vagueness vs. Facial Vagueness**

7 {29} Before discussing whether consideration of an as-applied challenge was
8 appropriate under the circumstances in the present cases, we first note the distinction
9 between facial and as-applied vagueness challenges. “In a facial challenge to [a
10 statute], we consider only the text of the [statute] itself, not its application; whereas,
11 in an as-applied challenge, we consider the facts of the case to determine whether
12 application of the [statute] even if facially valid deprive[s] the challenger of a
13 protected right.” *Vill. of Ruidoso v. Warner*, 2012-NMCA-035, ¶ 5, 274 P.3d 791.
14 Whereas “the facts of [the challenging party’s] particular case do not affect our
15 review” of facial challenges, the facts of the challenging party’s particular case are
16 relevant in an as-applied challenge because “the challenging party contests only how
17 the statute . . . was applied against him or her within a particular context[.]” *Jackson*
18 *v. City of Chi.*, 2012 IL App (1st) 111044, ¶ 27); *see Bounds v. State ex rel.*
19 *D’Antonio*, 2013-NMSC-037, ¶ 14 n.2, 306 P.3d 457 (quoting *Jackson* for the
20 proposition relevant to facial challenges). The “particular context” depends on

1 factual determinations which no jury has yet made in any of these cases. The district
2 court’s consideration of an as-applied challenge in the absence of a trial was
3 premature. *See State v. Muraida*, 2014-NMCA-060, ¶ 12, 326 P.3d 1113 (explaining
4 that dismissal of charges under *Foulenfont* “can only be granted if such charges can
5 be disposed of solely by deciding a question of law”); *State v. LaPietra*, 2010-
6 NMCA-009, ¶ 7, 147 N.M. 569, 226 P.3d 668 (“Questions of fact . . . are the unique
7 purview of the jury and, as such, should be decided by the jury alone.”). We therefore
8 decline to further consider an as-applied challenge on appeal, and we turn now to an
9 examination of whether the statute is facially vague.

10 **B. Defendants’ Facial Vagueness Claims**

11 {30} To support a facial vagueness challenge, Defendants must demonstrate “that
12 the statute is void in all its applications; or, stated another way, if [their] own conduct
13 is clearly proscribed under the statute, [they] cannot assert that the statute is
14 unconstitutionally vague as it might be applied to the conduct of others.” *State ex*
15 *rel. Children, Youth & Families Dep’t v. Patricia N.*, 2000-NMCA-035, ¶ 15, 128
16 N.M. 813, 999 P.2d 1045 (internal quotation marks and citation omitted). *But see*
17 *Johnson v. United States*, ___ U.S. ___, ___, 135 S. Ct. 2551, 2561 (2015) (noting
18 that the United States Supreme Court’s “holdings squarely contradict the theory that
19 a vague provision is constitutional merely because there is some conduct that clearly
20 falls within the provision’s grasp” (emphasis omitted)). A statute is

1 unconstitutionally vague if it “(1) fails to provide persons of ordinary intelligence
2 using ordinary common sense a fair opportunity to determine whether their conduct
3 is prohibited, or (2) fails to create minimum guidelines for enforcement and thus
4 encourages subjective and ad hoc application of the law.” *Duttle*, 2017-NMCA-001,
5 ¶ 13 (omissions, internal quotation marks, and citation omitted). Upon examination
6 of Subsections 10-16-3(A)-(C), we conclude that unlike Subsection (A), Subsections
7 (B) and (C) are unconstitutionally vague.³

8 **1. Subsection (A)**

9 {31} Determining whether Subsection (A) is vague requires that we interpret the
10 statute and identify the prohibited conduct, which we review de novo. *See Duttle*,
11 2017-NMCA-001, ¶ 14 (“In determining the prohibited conduct, we review
12 questions of statutory interpretation de novo.”). Subsection (A) begins by identifying
13 those who are subject to its requirements and describing certain proscribed conduct,
14 stating, “[a] legislator or public officer or employee shall treat the legislator’s or
15 public officer’s or employee’s government position as a public trust.” Section 10-
16 16-3(A). This sentence appears to be intended as merely a prefatory illustration of

³We note that in A-1-CA-38283 (Defendant Padilla’s case), the state ethics commission’s amicus brief highlights the potential that voiding Section 10-16-3 for vagueness will have unforeseen ramifications in other, non-criminal applications of the statute. Given the facts and circumstances of these cases, we leave for another day an examination of the extent to which our holding affects the non-criminal applications of Section 10-16-3.

1 the general principle to which the identified officials should aspire. The Legislature
2 continued, explaining the conduct required to treat a government position as a public
3 trust: “The legislator or public officer or employee shall use the powers and
4 resources of public office only to advance the public interest and not to obtain
5 personal benefits or pursue private interests.” *Id.* Because the terms “public interest,”
6 “personal benefits,” and “private interests” are not defined in the subsection and do
7 not appear elsewhere within the GCA, leaving us unable to rely on other statutory
8 provisions to help discern their plain meaning, we must construe these terms, giving
9 them “their ordinary meaning absent clear and express legislative intention to the
10 contrary.” *State v. Tsosie*, 2011-NMCA-115, ¶ 19, 150 N.M. 754, 266 P.3d 34
11 (internal quotation marks and citation omitted).

12 {32} Neither this Court, nor our Supreme Court has taken the opportunity to define
13 “public interest” in a context similar to that of Subsection 10-16-3(A). However,
14 “public interest” is generally defined as “[t]he general welfare of a populace
15 considered as warranting recognition and protection”; and “[s]omething in which the
16 public as a whole has a stake; [especially], an interest that justifies governmental
17 regulation,” *Public Interest*, Black’s Law Dictionary (11th ed. 2019), or “the general
18 welfare and rights of the public that are to be recognized, protected and advanced”;
19 and “a specific public benefit or stake in something.” *Merriam-Webster Dictionary*,
20 <https://www.merriam-webster.com/dictionary/interest#legalDictionary> (last visited

1 on April 21, 2020); *see also Battishill v. Farmers All. Ins. Co.*, 2006-NMSC-004,
2 ¶ 8, 139 N.M. 24, 127 P.3d 1111 (relying on a dictionary definition to determine a
3 term’s ordinary meaning when it is not defined in the statute).

4 {33} Aided by the ordinary meaning of “public interest,” as well as its context
5 within Subsection (A) as something other than obtaining “personal benefits” and
6 “private interests,” we conclude this subsection (1) mandates the use of the powers
7 and resources of a legislator’s, public officer’s, or public employee’s public office
8 only for the benefit of the people of New Mexico, and (2) prohibits legislators, public
9 officers, and public employees from exploiting their powers and resources for
10 private gain. *See* NMSA 1978, § 12-2A-2 (1997) (“Unless a word or phrase is
11 defined in the statute or rule being construed, its meaning is determined by its
12 context, the rules of grammar and common usage.”). In arriving at our conclusion,
13 we are aided by the legislative history of Subsection 10-16-3(A). In 2011, the
14 Legislature amended the subsection to replace the qualification that a legislator’s,
15 public officer’s, or public employee’s powers and resources shall not be used “to
16 obtain personal benefits or pursue private interests *incompatible with the public*
17 *interest*[.]” § 10-16-3(A) (2007) (emphasis added), with the more stringent
18 requirement that “the powers and resources of public office” be used “*only to*
19 *advance the public interest and not to obtain personal benefits or pursue private*
20 *interests.*” § 10-16-3(A) (2011) (emphasis added). *See* 2011 N.M. Laws, ch. 138,

1 § 3. This amendment makes clear the Legislature’s intent that those subject to the
2 provisions of Subsection 10-16-3(A) cannot use their powers and resources to obtain
3 personal benefits or pursue private interests, even if they are not incompatible with
4 the public interest, removing the need to consider whether the interests are
5 incompatible because those powers and resources can only be used to advance the
6 public interest.

7 {34} To the extent the application of Subsection (A) requires a qualitative
8 determination of what constitutes a public versus private interest, we note that, as a
9 general rule, “the application of a qualitative standard . . . to real-world conduct”
10 does not render a statute unconstitutionally vague. *Johnson*, ___ U.S. at ___, 135 S.
11 Ct. at 2561; *see Grayned v. City of Rockford*, 408 U.S. 104, 110 (1972)
12 (“Condemned to the use of words, we can never expect mathematical certainty from
13 our language.”). We also recognize the mitigating effect of the scienter requirement
14 found in Section 10-16-17 (criminalizing the *knowing and willful* violation of any
15 provision of the GCA) on any potential vagueness in Subsection 10-16-3(A). *See*
16 *Vill. of Hoffman Estates v. Flipside, Hoffman Estates, Inc.*, 455 U.S. 489, 499 (1982)
17 (“[T]he [United States Supreme] Court has recognized that a scienter requirement
18 may mitigate a law’s vagueness, especially with respect to the adequacy of notice to
19 the complainant that his conduct is proscribed.”). We, therefore, conclude
20 Subsection 10-16-3(A) provides a fair opportunity for persons of ordinary

1 intelligence to determine whether his or her conduct is prohibited, as well as
2 sufficient guidance for enforcement of the law such that it neither permits nor
3 encourages subjective or ad hoc application.

4 {35} Defendant Padilla argues that if we find the statute is not vague, the State is
5 precluded from applying our “novel construction” to support its criminal prosecution
6 in these instances, citing *United States v. Lanier*, 520 U.S. 259, 266 (1997). *Lanier*
7 held that, “although clarity at the requisite level may be supplied by judicial gloss
8 on an otherwise uncertain statute, due process bars courts from applying a novel
9 construction of a criminal statute to conduct that neither the statute nor any prior
10 judicial decision has fairly disclosed to be within its scope[.]” *Id.* (citations omitted).

11 “The test in determining whether . . . an interpretation and retroactive application of
12 a statute offends due process is whether the construction actually given the statute
13 was foreseeable.” *State v. Johnson*, 2001-NMSC-001, ¶ 14 n.4, 130 N.M. 6, 15 P.3d
14 1233. A court “engages in an impermissible interpretation of a statute when the
15 interpretation is so unexpected, and so outlandish, that no reasonable person could
16 have expected it.” *Id.* (alteration, internal quotation marks, and citation omitted).

17 {36} Our holding with respect to Subsection 10-16-3(A) rests on neither an
18 unexpected nor unforeseeable judicial construction, but on the ordinary meaning and
19 context of the terms used in the statute. *See Lanier*, 520 U.S. at 267 (“[T]he
20 touchstone is whether the statute, either standing alone or as construed, made it

1 reasonably clear at the relevant time that the defendant’s conduct was criminal.”).

2 This construction of Subsection (A) neither alters a preexisting interpretation by an

3 appellate court in New Mexico nor enlarges the range of conduct covered under the

4 subsection. *See State v. Myers*, 2011-NMSC-028, ¶¶ 13, 16, 150 N.M. 1, 256 P.3d

5 13 (recognizing that a judicial construction is unforeseeable when it either

6 “contradicts binding precedent on the same question, upon which the accused could

7 have relied[,]” or it expands the scope of otherwise “narrow and precise” statutory

8 language (internal quotation marks and citation omitted)). Moreover, although this

9 is the first time an appellate court in New Mexico has construed Subsection 10-16-

10 3(A), “the mere absence of judicial authority on a point of law is hardly the test for

11 a[n] . . . ‘unexpected and indefensible’ interpretation of a criminal statute.” *Myers*,

12 2011-NMSC-028, ¶ 24; *see State v. Lovato*, 2011-NMCA-065, ¶ 16, 150 N.M. 39,

13 256 P.3d 982 (explaining that the fact that an opinion is the first to interpret a statute

14 “is of little consequence” because when a court “engages in the judicial construction

15 of a statute[,] it is explaining its understanding of what the statute has meant

16 continuously since the date when it became law” (internal quotation marks and

17 citation omitted)). Therefore, we conclude there is no facial due process violation

18 with regard to the applicability of Subsection (A) to Defendants charged with

19 violations of that subsection.

1 **2. Subsection (B)**

2 {37} We cannot reach the same conclusion with regard to Subsection 10-16-3(B).
3 Subsection (B) provides, “Legislators and public officers and employees shall
4 conduct themselves in a manner that justifies the confidence placed in them by the
5 people, at all times maintaining the integrity and discharging ethically the high
6 responsibilities of public service.” Section 10-16-3(B). Although this subsection
7 describes behavior to which the listed officials should aspire, it does not follow—as
8 Subsection (A) does—with a definition or clarification of the conduct that is required
9 to comply.⁴ To the extent the phrases “conduct themselves in a manner that justifies
10 the confidence placed in them by the people,” “maintaining the integrity,” and
11 “discharging ethically” were intended to require or prohibit certain conduct, we are
12 nevertheless unable to ascertain with any reasonable degree of certainty the conduct
13 the Legislature intended to prohibit. *See Johnson*, ___ U.S. at ___, 135 S. Ct. at 2561
14 (“Because the elements necessary to determine the imaginary ideal are uncertain
15 both in nature and degree of effect, this abstract inquiry offers significantly less

⁴*But see, e.g.*, Colo. Rev. Stat. Ann. § 24-18-103 (West 1988) (establishing that “[t]he holding of public office or employment is a public trust” and that public officers, members of the general assembly, local government officials, or employees “shall carry out [their] duties for the benefit of the people of the state,” and shall not engage in conduct that “departs from [their] fiduciary duty”; Colo. Rev. Stat. Ann. §§ 24-18-104 (West 2012), -106 (West 2010), -108 (West 1991), -108.5 (West 1991), and -109 (West 2017) (enumerating acts, the commission of which constitute breaches of the actor’s fiduciary duty and the public trust).

1 predictability than one that deals with the actual, not with an imaginary condition
2 other than the facts.” (alteration, internal quotation marks, and citation omitted));
3 *Tsosie*, 2011-NMCA-115, ¶ 32 (“A statute may be void for vagueness if its meaning
4 is so uncertain that the court is unable, by the application of known and accepted
5 rules of construction, to determine what the Legislature intended with any reasonable
6 degree of certainty.” (internal quotation marks and citation omitted)). *But cf.*
7 *Grayned*, 408 U.S. at 110 (recognizing that words do not provide mathematical
8 certainty and sometimes require the application of a qualitative standard). Even after
9 resort to a construction of these terms—which are undefined in Subsection 10-16-
10 3(B) or the GCA as a whole—we are unable to discern what conduct is prohibited
11 and made subject to criminal prosecution. *See Duttie*, 2017-NMCA-001, ¶ 13
12 (explaining that we must “construe a statute in such a manner that it is not void for
13 vagueness if a reasonable and practical construction can be given to its language”
14 (internal quotation marks and citation omitted)).

15 {38} We, therefore, conclude Subsection (B) not only fails to provide persons of
16 ordinary intelligence a fair opportunity to determine whether their conduct is
17 prohibited, but also fails to provide minimum guidance that would preclude
18 subjective and ad hoc application of the law. *See Coates v. City of Cincinnati*, 402
19 U.S. 611, 614 (1971) (concluding that when “no standard of conduct is specified at
20 all” the law is unconstitutionally vague in that “men of common intelligence must

1 necessarily guess at its meaning” (internal quotation marks and citation omitted));
2 *State v. Smile*, 2009-NMCA-064, ¶ 20, 146 N.M. 525, 212 P.3d 413 (“In order to
3 fall within the arbitrary and discriminatory prong of the vagueness test, the statute
4 must have no standards or guidelines and therefore allow, if not encourage,
5 subjective and *ad hoc* application.” (alterations, internal quotation marks, and
6 citation omitted)). Accordingly, Subsection (B) is vague and cannot form the basis
7 for criminal charges under Section 10-16-17.

8 **3. Subsection (C)**

9 {39} Finally, Subsection 10-16-3(C) provides: “Full disclosure of real or potential
10 conflicts of interest shall be a guiding principle for determining appropriate conduct.
11 At all times, reasonable efforts shall be made to avoid undue influence and abuse of
12 office in public service.” Although we generally must first determine the prohibited
13 conduct in order to determine whether the statute itself is void for vagueness, we
14 need not discuss the conduct the subsection purports to prohibit or require because
15 the subsection does not provide adequate guidance as to *whom* its requirements
16 apply.

17 {40} The GCA governs the conduct of various actors: legislators, public officers
18 and employees, former public officers and employees, state agencies, private
19 citizens, and businesses that contract with state and local government agencies. *See*,
20 *e.g.*, §§ 10-16-3(D) (prohibiting certain activities by legislators, public officers and

1 employees, and any “person”); -8(B) (prohibiting certain conduct by former public
2 officers and employees); -9(A) (prohibiting certain conduct by state
3 agencies); -13.3(A) (prohibiting certain conduct by businesses that contract with
4 state or local government agencies to provide financial services). While this issue
5 was not specifically raised on appeal, we note that generally, the GCA’s sections
6 identify the actors subject to their prohibitions and requirements. Indeed,
7 Subsections (A), (B), and (D) of Section 10-16-3 limit the applicability of their
8 requirements to specific classes of persons. *See* §§ 10-16-3(A) (“A legislator or
9 public officer or employee shall . . . ”); -3(B) (“Legislators and public officers and
10 employees shall . . . ”); -3(D) (“No legislator or public officer or employee may . . . ”
11 and “no person may . . . ”). Subsection (C) contains no explanation of the actors to
12 whom it applies.⁵

⁵The state ethics commission’s amicus brief attempts to resolve any ambiguity with regard to the class of persons governed by Subsection 10-16-3(C) by arguing that rather than functioning independently, this subsection “combine[s]” with Subsection 10-16-3(B) “to impose a duty on legislators, public officers, and public employees to disclose real or potential conflicts of interest.” In other words, the state ethics commission argues, Subsection (B) details to whom the duty applies while Subsection (C) details what conduct is required under that duty. Notwithstanding the general rule that “[a] statutory subsection may not be considered in a vacuum, but must be considered in reference to the statute as a whole and in reference to statutes dealing with the same general subject matter[.]” *State v. Smith*, 2004-NMSC-032, ¶ 10, 136 N.M. 372, 98 P.3d 1022, we reject the state ethics commission’s argument because Section 10-16-3 is devoid of any language that would support such a conclusion. *See Chatterjee*, 2012-NMSC-019, ¶ 11 (providing the plain language rule); *State v. Hubble*, 2009-NMSC-014, ¶ 10, 146 N.M. 70, 206 P.3d 579 (noting that “[w]e will not read into a statute language which is not there”).

1 {41} When read together with Section 10-16-3's heading ("Ethical principles of
2 public services; certain official acts prohibited; penalty"), the plain language of
3 Subsection (C) suggests that the subsection was not intended to apply to former
4 public officers and employees, private citizens, or businesses. *See Tri-State*
5 *Generation & Transmission Ass'n, Inc.*, 2012-NMSC-039, ¶ 18 (explaining that we
6 may look to a section's heading, "and ordinarily it may be considered as a part of
7 the act if necessary to its construction" (internal quotation marks and citation
8 omitted)); 73 Am. Jur. 2d *Statutes* § 100 (explaining that a section's heading is a tool
9 "for the resolution of doubt about the meaning of a statute"). Nonetheless, we are
10 still unable to glean the Legislature's intent concerning the class of persons subject
11 to the requirements or prohibitions of Subsection (C). Moreover, our task in
12 discerning such intent is complicated by the Legislature's inclusion of specific
13 classes of persons in the surrounding subsections, but its omission from Subsection
14 (C). *See State v. Ramos*, 2013-NMSC-031, ¶ 15, 305 P.3d 921 (observing that when
15 the Legislature knew how to include something, and did not, we assume the choice
16 was deliberate); *Hubble*, 2009-NMSC-014, ¶ 10 (noting that "[w]e will not read into
17 a statute language which is not there"). Although our task on appeal is "to construe
18 a statute in such a manner that it is not void for vagueness if a reasonable and
19 practical construction can be given to its language[.]" *Duttle*, 2017-NMCA-001, ¶ 13
20 (internal quotation marks and citation omitted), and "to read related statutes in

1 harmony so as to give effect to all provisions[,]” *Benavides v. E. N.M. Med. Ctr.*,
2 2014-NMSC-037, ¶ 24, 338 P.3d 1265 (internal quotation marks and citation
3 omitted), the diversity of classes of persons specified in not only Section 10-16-3
4 but also among and within the remaining sections of the GCA renders Subsection
5 (C) devoid of the minimum guidance necessary to ward off a due process violation.

6 {42} The lack of any minimum guidance with regard to the class of persons whose
7 conduct is governed by Subsection (C) renders it vague in two respects: (1) it fails
8 to give people of ordinary intelligence a reasonable opportunity to know whether
9 their conduct is prohibited because they have no notice as to whether they are a
10 member of the class of persons contemplated under Subsection (C); and (2) it invites
11 subjective and ad hoc application of the law because law enforcement officials have
12 no guidance as to the class of persons subject to the requirements of the subsection.
13 *See Duttler*, 2017-NMCA-001, ¶ 13. In the absence of any such guidance, we
14 conclude Subsection (C) is vague on its face and cannot form the basis of a criminal
15 charge under Section 10-16-17.

16 **CONCLUSION**

17 {43} We reverse the district courts’ dismissals of the counts charging Defendants
18 under Subsection 10-16-3(A) and affirm the dismissals of the counts charging
19 Defendants under Subsections 10-16-3(B)-(C). We therefore remand to the district

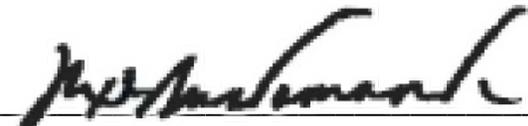
1 courts for reinstatement of the Subsection 10-16-3(A) charges against Defendants
2 Gutierrez, Estevez, and Johnston.

3 {44} **IT IS SO ORDERED.**

4 
5 _____
JULIE J. VARGAS, Judge

6 **WE CONCUR:**

7 
8 _____
RICHARD C. BOSSON, Judge Pro Tempore

9 
10 _____
MICHAEL D. BUSTAMANTE, Judge Pro Tempore